

10-26-00

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages 100

October 25, 2000

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Sir:

Enclosed herewith for filing is a patent application of Rothman et al. entitled KDEL RECEPTOR INHIBITORS

which includes:

[X] Specification	<u>27</u>	Total Pages
[X] Claims	<u>4</u>	Total Pages
[X] Abstract	<u>1</u>	Total Pages
[X] Drawing(s)	<u> </u>	Total Sheets
<u>X</u> formal	<u>30</u>	Total Sheets
<u>X</u> informal	<u>30</u>	Total Sheets

☒ Combined Declaration and Power of Attorney

☐ Newly executed (original or copy)

☒ Copies from a prior application

**Copies from a prior application
(for continuation/divisional only - must be filed to avoid surcharge for late filing)**

If a continuing application, check appropriate box:

☐ Continuation ☒ Divisional
of prior application No. 09/124,671

☐ Continuation-In-Part (CIP)

☒ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☒ divisional ☐ continuation-in-part
of copending application Serial No. 09/124,671 filed July 29, 1998."

Attorney Docket No. A31488-I 065360.0141

- [X] An Assignment of the invention to SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH.
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☐ will follow.
☒ has been filed in the prior application

- ☐ Small Entity Statement(s) **ENCLOSED**.
☒ Small Entity Statement filed in prior application. Status still proper and desired.
☐ Information Disclosure Statement (IDS) PTO-1449
☐ Copies of IDS Citations.

[X] Preliminary Amendment (with hard copy of Sequence Listing and a soft copy in a diskette)

[X] Return Receipt Postcard

[X] Other : Check for \$355.00

- ☐ Cancel in this application original claims 1-13 and 20-43 of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee							
Total Claims	6	-20 = 0	x 9 =	\$0.00	x 18 =	\$0.00	
Ind. Claims	1	-3 = 0	x 40 =	\$0.00	x 80 =	\$0.00	
Multiple Dependent Claim			+ 135 =		+ 270 =		
			Total	<u>\$0.00</u>			<u>\$0.00</u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

[X] Enclosed

[X] Basic filing fee \$355.00

☐ Recording Assignment \$0.00
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$355.00

Appln. Trans.
PATENT

Attorney Docket No. A31488-I 065360.0141

☒ A check in the amount of \$355.00 to cover filing fee is enclosed.

Priority ☐ Priority of application Country , Appln. No. filed is claimed under 35 U.S.C. 119.

☐ Certified Copy of Priority Document(s) Country , Appln No. , filed .

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N ____

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By: Richard S. Clark
PTO Registration No. 26,154

Lisa B. Kole
PTO Registration No. 35,225

Enclosures